#4

# JC16 Rec'd PCT/PTO 1 0 JUN 2002;

FORM PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY 'S DOCKET NUMBER				
(REV. 9-2001) TRANSMITTAL LETTER TO THE UNITED STATES		020631-000111US				
DESIGNATED/ELECTED OFFICE (DO/EO/US)		U.S. APPLICATION NO. (If known, see 37 CFR 1.5)				
CONCERNING A FILING UNDER 35 U.S.C. 371		10/009,452				
INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED				
PCT/US00/12796	09 May 2000	11 May 1999				
TITLE OF INVENTION						
HIGH-DENSITY REMOVABLE EXPANSION MODULE HAVING I/O AND SECOND-LEVEL REMOVABLE						
EXPANSION MEMORY						
APPLICANT(S) FOR DO/EO/US MILLS, Kevin, J.; GIFFORD, Michael, L.						
Applicant herewith submits to the United St	tates Designated/Elected Office (DO/EO/US)	the following items and other information:				
1. This is a FIRST submission of iten	ns concerning a filing under 35 U.S.C. 371.					
	NT submission of items concerning a filing u					
3. This is an express request to begin national examination procedures (35 U.S.C. 371(f). The submission must include items (5), (6), (9) and (21) indicated below.						
4. The US has been elected by the expi	ration of 19 months from the priority date (A	rticle 31).				
5. A copy of the International Applicat	ion as filed (35 U.S.C. 37(c)(2))					
<ul> <li>a.  is attached hereto (required</li> </ul>	only if not communicated by the International	al Bureau).				
b.  has been communicated by	the International Bureau					
c.  is not required, as the application	cation was filed in the United States Receiving	g Office (RO/US).				
6. An English language translation of t	he International Application as filed (35 U.S.	C. 371(c)(2)).				
<ul> <li>a.  is attached hereto.</li> </ul>						
<ul> <li>b.  has been previously submit</li> </ul>	ted under 35 U.S.C. 154(d)(4).					
7. Amendments to the claims of the Int	ternational Application under PCT Article 19	(35 U.S.C. 371(c)(3)).				
a. are attached hereto (require	d only if not communicated by the Internation	nal Bureau).				
b.  have been communicated b	y the International Bureau.					
c. have not been made; however	ver, the time limit for making such amendmen	nts has NOT expired.				
d. have not been made and wi	ll not be made.					
8. An English language translation of t	he amendments to the claims under PCT Arti	cle 19 (35 U.S.C. 371 (c)(3)).				
9.   ✓ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).						
	he annexes of the International Preliminary E	Examination Report under PCT				
Items 11 to 20 below concern docu	ment(s) or information included:					
11. An Information Disclosure Statemen	nt under 37 CFR 1.97 and 1.98.	•				
12. An assignment document for record	ing. A separate cover sheet in compliance wi	th 37 CFR 3.28 and 3.31 is included.				
13. A FIRST preliminary amendment.						
14. A SECOND or SUBSEQUENT preliminary amendment.						
15. A substitute specification.						
16. A change of power of attorney and/o	or address letter.					
17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 – 1.825.						
18. A second copy of the published international application under 36 U.S.C.						
19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).						
20.   ☐ Other items or information:						
a.) Express Mail Label # EL 474 294 064 US, Date of Mailing: June 10, 2002 (Monday)						
b.) Declaration and Power of Attorney (executed)						
c.) Copy of Notification of Missing Requ						

	VS/ Application no. (if known, see 37 CFR 1.5) 10/009,452			ATTORNEY'S DOCKET NUMBER 020631-000111US		
	21. The following fees are submitted:			CALCULATIONS PTO USE ONLY		
	BASIC NATIONAL FEE (37 CFR 1.492(A) (1) – (5)):					
	Neither international preliminary examination fee (37 CFR 1.492)					
	nor international search fee (37 CFR 1.445(a)(2)					
	and International Search Report not prepared by	\$1040.00		•		
	International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search report prepared by the EPO or JPO\$890.00					
	International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO\$740.00					
	International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4)\$710.00					
	International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)(4)\$100.00					
	ENTER APPROPRIATE B	ASIC FEE AMOUNT =				
	Surcharge of \$130.00 for furnishing the oath or months from the earliest claimed priority date (3	⊠ 30	\$130.00			
	CLAIMS NUMBER FILED	NUMBER EXTRA	RATE	\$	r	
	Total claims =		x \$18.00	\$		
	Independent claims = MULTIPLE DEPENDENT CLAIM(S) (if appli	rable)	x \$84.00 + 280.00	\$		
				\$		
	TOTAL OF ABOVE CALCULATIONS = \$  Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.  \$65.00					
		SUB	TOTAL =	\$65.00		
	Processing fee of \$130.00 for furnishing the Eng	30				
	months from the earliest claimed priority date (3	\$				
	Fee for recording the enclosed assignment (37 C accompanied by an appropriate cover sheet (37 C	\$				
		LOSED =	\$			
	LLANDGRA 00000024 201430 10009452			Amount to be	\$	
01 FC:254	65.00 CH			refunded: charged:	\$65.00	
	a. A check in the amount of \$	to cover the above fee	s is enclosed.			
	b. Please charge my Deposit Account N	o. <u>20-1430</u> in the amount of \$	65.00 to c	over the above fees.		
	c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 20-1430. A duplicate copy of this sheet is enclosed.					
	d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
	NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR					
	1.137(a) or (b) must be filed and granted to re	estore the application to pend	ing status.	•	1 00	
	SEND ALL CORRESPONDENCE TO:  SIGNATURE					
	David N. Slone	Sidim rolls				
	Townsend and Townsend and Crew LLP 2 Embarcadero Center			David N. Slone		
				NAME		
	8 <sup>th</sup> Floor					
	San Francisco, CA 94111					
				28,572		
	·			REGISTRATION NUMBER		
	EODM BTO 1200 (-ev 0 2001) rose 2 of 2 BA 2229272 vil					

PRIORITY DATE



## 020631-000111LIS DAS

Commissioner for Patents, Box PCT United States Patent and Trademark Office Washington, D.C. 20231

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY, DOCKET NO. 10/009,452 Kevin J Mills 020631-000111US INTERNATIONAL APPLICATION NO. PCT/US00/12796

David N Slone Townsend and Townsend and Crew 2 Embarcadero Center 8th Floor San Francisco, CA 94111

**CONFIRMATION NO. 1849 371 FORMALITIES LETTER** 

\*OC000000007798076\*

I.A. FILING DATE

05/09/2000

Response Due 6/9/02

Date Mailed: 04/09/2002

#### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Indication of Small Entity Status
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Preliminary Amendments
- Request for Immediate Examination

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

• Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

#### MAMIE P PERSON

Telephone: (703) 305-3737

### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY, DOCKET NO.
10/009,452	PCT/US00/12796	020631-000111US

FORM PCT/DO/EO/905 (371 Formalities Notice)